## Rec'd PCT/PTO 3 1 MAR 1998

| ORM PTO-1390 U.S. D  | EPARTMENT OF COMMERCE PATENT AND TRADEMARK   | OFFICE ATTORNEY'S DOCKET NUMBER                          |  |  |  |
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| REV 10-94)   | TO THE UNITED STATES   | 3164.98USWO  |  |  |  |
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| CONCERNING ATTEM   | NG GINDER 33 G.B.C. 37 I   | U.S. APPLICATION NO. (If known, see 37 C F R 15)         |  |  |  |
|  |  | Unknown  |  |  |  |
| NTERNATIONAL APPLICATION NO.   | INTERNATIONAL FILING DATE  | PRIORITY DATE CLAIMED                                    |  |  |  |
| WIERWING WEER PERSON TO THE PE | August 1, 1997   | August 2, 1996   |  |  |  |
| PCT/AU97/00492   | rugust 1, 1997   | ,  |  |  |  |
| TITLE OF INVENTION   |  |  |  |  |  |
| MPROVED NUCLEIC ACIDS ENCODIN  | IG A CHIMERIC GLYCOSYLTRANSF   | ERASE  |  |  |  |
| APPLICANT(S) FOR DO/EO/US  |  |  |  |  |  |
| an Farquhar Campbell MCKENZIE; Maur  | o Sergio SANDRIN   |  |  |  |  |
| Applicant herewith submits to the United States  |  | allowing items and other information:                    |  |  |  |
| applicant nerewith submits to the United States  | Designated/Elected Office (Do/Le/08) the fe  | nio ving temo ala outer incomment                        |  |  |  |
| . [X] This is a FIRST submission of items of   | oncerning a filing under 35 U.S.C. 371.  |  |  |  |  |
| This is a SECOND or SUBSEOU  | ENT submission of items concerning a filing  | under 35 U.S.C. 371.                                     |  |  |  |
| i. [X] This express request to begin national examination until the expiration of the  | examination procedures (35 U.S.C. 371(f)) at a applicable time limit set in 35 U.S.C. 371(b) a | any time rather than delay and PCT Articles 22 and 39(1) |  |  |  |
| examination until the expiration of the limit [ ] A proper Demand for Internationa   | appricable time film set in 33 0.3.0.371(0) at 1 Preliminary Examination was made by the 1     | 9th month from the earliest claimed priority date.       |  |  |  |
| A proper Demand for International  | i i foliminary Examination was made by and a   | , ,  |  |  |  |
| a. [X] has been transmitted by the International Application b. [X] has been transmitted by the International Application c. [ ] is not required, as the application of the International Application  | as filed (35 U.S.C. 371(c)(2))   |  |  |  |  |
| a. [X] is transmitted herewith (requi  | red only if not transmitted by the International   | Bureau).   |  |  |  |
| b. [X] has been transmitted by the It  | nternational Bureau.<br>plication was filed in the United States Receiv                        | ing Office (RO/US)                                       |  |  |  |
| c.   Is not required, as the ap  | Application into English (35 U.S.C. 371(c)(2))   | hig Office (No/OO)                                       |  |  |  |
| A translation of the international A   | Application into English (33 C.S.C. 371(c)(2))   | •  |  |  |  |
|  |  | 5 U.S.C. 371(c)(3))                                      |  |  |  |
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| b. [ ] have been transmitted by the International Bureau.  |  |  |  |  |  |
| a. [ ] are transmitted herewith (required only if not dansmitted by the International Bureau.  b. [ ] have been transmitted by the International Bureau.  c. [X] have not been made; however, the time limit for making such amendments has NOT expired.  d. [ ] have not been made and will not be made.  |  |  |  |  |  |
| d. [ ] have not been made and  |  |  |  |  |  |
| 8 [ ] A translation of the amendments  | to the claims under PCT Article 19 (35 U.S.C.  | 371(c)(3)).  |  |  |  |
| STATE AND A STATE OF A | contor(s) (25 II S C, 271 (s)(4))  |  |  |  |  |
| 9. [X] A signed oath or declaration of the inv   | entor(s) (33 U.S.C. 371 (C)(4)).   |  |  |  |  |
| 10. [] A translation of the annexes to the International Preliminary Examination Report under PCT Article 36   |  |  |  |  |  |
| (35 U.S.C. 371(c)(5)).   |  |  |  |  |  |
| 7. 44 4 46 1 1   | i_formation included:  |  |  |  |  |
| Items 11. to 16. below concern document(s) of 11. [X] An Information Disclosure Statement  | under 37 CFR 1.97 and 1.98.  |  |  |  |  |
|  |  |  |  |  |  |
| 12. [X] An assignment document for recording   | g. A separate cover sheet in compliance with 3   | 37 CFR 3.28 and 3.31 is included.                        |  |  |  |
| 12 DVI A FIDOTliinom-omondment   |  |  |  |  |  |
| 13. [X] A FIRST preliminary amendment.  [ ] A SECOND of SUBSEQUENT   | nreliminary amendment.   |  |  |  |  |
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| 14. [ ] A substitute specification.  |  |  |  |  |  |
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| 15. [ ] A change of power of attorney at   |  |  |  |  |  |
| 16. [X] Other items or information: PTO Form 1449; Communication Re: Inventorship; International Search Report   |  |  |  |  |  |
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| U.S. APPLICATION NO (If known  | , see 37 C F R 1 5)   | INTERNATIONAL APPLICATION N                      |                   | ATTORNEY'S DOCKET NUMBER  |          |  |  |
|--|---|--|-------------------|---------------------------|----------|--|--|
|  |   | PCT/AU97/00492                                   |                   | 3164.98USWO               |          |  |  |
| 17 [V] The following f   | ees are submitted:  |  |                   | CALCULATIONS PTO          | USE ONLY |  |  |
| 17. [X] The following fees are submitted:  |   |  | ŀ                 |                           |          |  |  |
| BASIC NATIONAL FEE (37 CFR 1.492(a) (1)-(5)): Search Report has been prepared by the EPO or JPO\$930.00  |   |  |                   |                           |          |  |  |
| International preliminary examination fee paid to USPTO (37 CFR 1.492(a)(1))\$720.00   |   |  |                   |                           |          |  |  |
| No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2))S790.00   |   |  |                   |                           |          |  |  |
| Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(3)) paid to USPTO\$1,070.00   |   |  |                   |                           |          |  |  |
| International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4)\$98.00   |   |  |                   |                           |          |  |  |
|  |   | PRIATE BASIC FEE                                 | HITOURI           | \$535.00                  |          |  |  |
| Surcharge of \$130.00 fo months from the earliest  | r furnishing the oath or dec<br>claimed priority date (37 C | laration later than [] 20 [] 3<br>CFR 1.492(e)). | 30                | \$                        |          |  |  |
| CLAIMS   | NUMBER FILED  | NUMBER EXTRA                                     | RATE              |                           |          |  |  |
| Total claims   | 25 -20 =  | 5  | X \$11.00         | \$55.00                   |          |  |  |
| Independent claims   | 4 -3 =  | 1  | X \$41.00         | \$41.00                   |          |  |  |
| MULTIPLE DEPENDE   | ENT CLAIM(S) (if applicat                                   | ole)   | + \$270.00        | \$                        |          |  |  |
| d Comment  | TOTAL   | OF ABOVE CALCU                                   | LATIONS =         | \$631.00                  |          |  |  |
| Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).  |   |  |                   | s                         |          |  |  |
| State of   | SURTOTAL =  |  |                   | \$631.00                  |          |  |  |
| Processing fee of \$130.00 for furnishing the English translation later than [] 20 [] 30   |   |  |                   |                           |          |  |  |
| months from the earliest claimed priority date (37 CFR 1.492(f).   |   |  |                   |                           |          |  |  |
| - 발.<br>보고   |   | TOTAL NATIO                                      |                   | \$631.00                  |          |  |  |
| Fee for recording the er   | nclosed assignment (37 CFI propriate cover sheet (37 CFI    | \$40.00  |                   |                           |          |  |  |
| <u> </u>   |   | TOTAL FEES E                                     | NCLOSED =         | \$671.00                  |          |  |  |
|  |   |  |                   | Amount to be:<br>refunded | \$       |  |  |
|  |   |  |                   | charged                   | \$       |  |  |
| a [X] Checks in the  | amount of \$ 631.00 and                                     | \$40.00 to cover the above f                     | ees are enclosed. |                           |          |  |  |
| a. [X] Checks in the amount of \$ 631.00 and \$40.00 to cover the above fees are enclosed.  b. [] Please charge my Deposit Account No  |   |  |                   |                           |          |  |  |
| c. [X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 13-2725. A duplicate copy of this sheet is enclosed.  |   |  |                   |                           |          |  |  |
| NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.  SEND ALL CORRESPONDENCE TO Michael L. Mau |   |  |                   |                           |          |  |  |
| MERCHANT & GOULD 3100 Norwest Center 90 South Seventh Street Minneapolis MN 55403  |   |  |                   | Michael L. Mau            |          |  |  |
| Minneapolis, MN 5  | 3403  |  |                   | 30,087<br>REGISTRATION N  | UMBER    |  |  |

# Rec'd PCT/PTO 3 1 MAR 1998

09/051034

S/N Unknown PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

McKENZIE et al.

Examiner:

Unknown

Serial No.:

Unknown

Group Art Unit:

Unknown

Filed:

A ...

Intl Filing Date August 1, 1997

Docket No.:

3164.98USWO

Title:

IMPROVED NUCLEIC ACIDS ENCODING A CHIMERIC

GLYCOSYLTRANSFERASE

**CERTIFICATE UNDER 37 CFR 1.10** 

'Express Mail' mailing label number: EM422712114US

Date of Deposit: March 31, 1998

I hereby certify that this correspondence is being deposited with the United States Postal Service 'Express Mail Post Office Γο Addressee' service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

By:\_\_\_ Name:

Name: William Smith

### PRELIMINARY AMENDMENT

Box PCT Assistant Commissioner for Patents Washington, D. C. 20231

Sir:

In connection with the above-identified application filed herewith, please enter the following preliminary amendment:

### IN THE ABSTRACT

Insert the attached Abstract page into the application as the last page thereof.

#### IN THE SPECIFICATION

A courtesy copy of the present specification is enclosed herewith, but the World Intellectual Property Office (WIPO) copy should be relied upon if it is already in the U.S. Patent Office.

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### IN THE CLAIMS

In claim 3, line 1, delete "or claim 2".

In claim 4, line 1 to 2, delete "any one of claims 1 to 3" and insert —claim 1—.

In claim 5, line 1 to 2, delete "any one of claims 1 to 4" and insert —claim 1—.

In claim 6, line 1 to 2, delete "any one of claims 1 to 5" and insert —claim 1—.

In claim 7, line 1 to 2, delete "any one of claims 1 to 6" and insert —claim 1—.

In claim 8, line 1 to 2, delete "any one of claims 1 to 7" and insert —claim 1—.

In claim 9, line 1 to 2, delete "any one of claims 1 to 8" and insert —claim 1—.

In claim 11, line 1 to 2, delete "any one of claims 1 to 10" and insert —claim 1—.

In claim 12, line 2, delete "any one of claims 1 to 11" and insert —claim 1—.

In claim 14, line 1, delete "or claim 13".

In claim 25, line 2 to 3, delete "any one of claims 1 to 11" and insert —claim 1—.

In claim 23, line 2, delete "any one of claims 1 to 11" and insert —claim 1—.

In claim 25, line 2, delete "any one of claims 1 to 11" and insert —claim 1—.

### REMARKS

A new abstract page is supplied to conform to that appearing on the publication page of the WIPO application, but the new Abstract is typed on a separate page as required by U.S. practice.

The above preliminary amendment is made to remove multiple dependencies from claims 1 to 9, 11 to 12, 14, 17, 22 to 23 and 25.

Applicant respectfully requests that the preliminary amendment described herein be entered into the record prior to examination and consideration of the above-identified application.

If a telephone conference would be helpful in resolving any issues concerning this communication, please contact Applicant's primary attorney-of record, Michael L. Mau (Reg. No. 30,422), at (612) 336–4727.

Respectfully submitted,

McKENZIE et al.

By their attorneys,

MERCHANT, GOULD, SMITH, EDELL, WELTER, & SCHMIDT, P.A. 3100 Norwest Center 90 South Seventh Street Minneapolis, Minnesota 55402

(612) 332-5300

By // /

Michael L. Mau Reg. No. 30,087

MLM/sef

Dated: March 31, 1998

PCT/AU97/00492

Docket: 3164.98USWO

## Abstract

The invention relates to nucleic acids which encode glycosyltransferase and are useful in producing cells and organs from one species which may be used for transplantation into a recipient of another species. It also relates to the production of nucleic acids which, when present in cells of a transplanted organ, result in reduced levels of antibody recognition of the transplanted organ.

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sion of Lagis and Tredemarks, Washington, D.C. 20231

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## IMPROVED NUCLEIC ACIDS ENCODING A CHIMERIC GLYCOSYLTRANSFERASE

## Field of the Invention

The present invention relates to nucleic acids which encode glycosyltransferase and are useful in producing cells and organs from one species which may be used for transplantation into a recipient of another species. Specifically the invention concerns production of nucleic acids which, when present in cells of a transplanted organ, result in reduced levels of antibody recognition of the transplanted organ.

## Background of the Invention

The transplantation of organs is now practicable, due to major advances in surgical and other techniques. However, availability of suitable human organs for transplantation is a significant problem. Demand outstrips supply. This has caused researchers to investigate the possibility of using non-human organs for transplantation.

Xenotransplantation is the transplantation of organs from one species to a recipient of a different species. Rejection of the transplant in such cases is a particular problem, especially where the donor species is more distantly related, such as donor organs from pigs and sheep to human recipients. Vascular organs present a special difficulty because of hyperacute rejection (HAR).

HAR occurs when the complement cascade in the recipient is initiated by binding of antibodies to donor endothelial cells.

Previous attempts to prevent HAR have focused on two strategies: modifying the immune system of the host by inhibition of systemic complement formation (1,2), and antibody depletion (3,4). Both strategies have been shown to prolong xenograft survival temporarily. However, these methodologies are therapeutically unattractive in that they are clinically impractical, and would require chronic

PCT/AU97/00492

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WO 98/05768

- 2 -

immunosuppressive treatments. Therefore, recent efforts to inhibit MAR have focused on genetically modifying the donor kenograft. One such strategy has been to achieve high-level expression of species-restricted human complement inhibitory proteins in vascularized pig organs via transgenic engineering (5-7). This strategy has proven to be useful in that it has resulted in the prolonged survival of porcine tissues following antibody and serum challenge (5,6). Although increased survival of the transgenic tissues was observed, long-term graft survival was not achieved (6). As observed in these experiments and also with systemic complement depletion, organ failure appears to be related to an acute antibody-dependent vasculitis

In addition to strategies aimed at blocking complement activation on the vascular endothelial cell surface of the xenograft, recent attention has focused on identification of the predominant xenogeneic epitope recognised by high-titre human natural antibodies. It is now accepted that the terminal galactosyl residue,  $Gal-\alpha$ (1,3)-Gal, is the dominant kenogeneic epitore (8-15). epitope is absent in Old World primates and humans because the  $\alpha(1,3)$ -galactosyltransferase (gal-transferase or GT) is non-functional in these species. DNA sequence comparison of the human gene to  $\alpha(1,3)$ -galactosyltransferuse genes from the mouse (16,17), ox (18), and pig (12) revealed that the human gene contained two frameshift mutations, resulting in a non-functional pseudogene (20,21). Consequently, humans and Old World primates have pre-existing high-titre antibodies directed at this  $Gal-\alpha(1,3)-Gal$  moiety as the dominant xenogeneic epitope.

One strategy developed was effective to stably reduce the expression of the predominant  $Gal-\alpha(1,3)-Gal$  epitope. This strategy took advantage of an intracellular competition between the gal-transferase and  $\alpha(1,2)$ -fucosyltransferase (H-transferase) for a common acceptor substrate. The gal-transferase catalyses the transfer of a

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terminal galactose moiety to an N-acetyl lactosamine acceptor substrate, resulting in the formation of the terminal  $Gal-\alpha(1,3)$ -Gal epitope. Conversely, H-transferase catalyses the transfer of a fucosyl residue to the N-acetyl lactosamine acceptor substrate, and generates a fucosylated N-acetyl lactosamine (H-antigen, i.e., the O blood group antigen), a glycosidic structure that is universally tolerated. Although it was reported that expression of human H-transferase transfected cells resulted in high level expression of the non-antigenic H-epitope and significantly reduced the expression of the  $Gal-\alpha(1,3)-Gal$  xenoepitope, there are still significant levels of  $Gal-\alpha(1,3)-Gal$  epitope present on such cells.

## 15 Summary of the Invention

In view of the foregoing, it is an object of the present invention to further reduce levels of undesirable epitopes in cells, tissues and organs which may be used in transplantation.

In work leading up to the invention the inventors surprisingly discovered that the activity of H transferase may be further increased by making a nucleic acid which encodes a H transferase catalytic domain but is anchored in the cell at a location where it is better able to compete for substrate with gal transferase. Although work by the inventors focused on a chimeric H transferase, other glycosyltransferase enzymes may also be produced in accordance with the invention.

Accordingly, in a first aspect the invention

provides a nucleic acid encoding a chimeric enzyme, wherein said chimeric enzyme comprises a catalytic domain of a first glycosyltransferase and a localisation signal of a second glycosyltransferase, whereby when said nucleic acid is expressed in a cell said chimeric enzyme is located in an area of the cell where it is able to compete for substrate with a second glycosyltransferase, resulting in reduced levels of a product from said second

WO 98/05768

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PCT/AU97/00492

- 4 -

glycosyltransferase.

Preferably the nucleic acid is in an isolated form; that is the nucleic acid is at least partly purified from other nucleic acids or proteins.

Preferably the nucleic acid comprises the correct sequences for expression, more preferably for expression in a eukaryotic cell. The nucleic acid may be present on any suitable eukaryotic expression vector such as pcDNA (Invitrogen). The nucleic acid may also be present on other vehicles whether suitable for eukaryotes or not, such as plasmids, phages and the like.

Preferably the catalytic domain of the first glycosyltransferase is derived from H transferase, secretor sialyltransferase, a galactosyl sulphating enzyme or a phosphorylating enzyme.

The nucleic acid sequence encoding the catalytic domain may be derived from, or similar to a glycosyltransferase from any species. Preferably said species is a mammalian species such as human or other primate species, including Old World monkeys, or other mammals such as ungulates (for example pigs, sheep, goats, cows, horses, deer, camels) or dogs, mice, rats and rabbits. The term "similar to" means that the nucleic acid is at least partly homologous to the glycocyltransferase genes described above. The term also extends to fragments of and mutants, variants and derivatives of the catalytic domain whether naturally occurring or man made.

Preferably the localisation signal is derived from a glycosyltransferase which produces glycosylation 30 patterns which are recognised as foreign by a transplant recipient. More preferably the localisation signal is derived from  $\alpha(1,3)$  galactosyltransferase. The effect of this is to downregulate the level of  $Gal-\alpha(1,3)-Gal$ produced in a cell when the nucleic acid is expressed by the cell.

The nucleic acid sequence encoding the localisation signal may be derived from any species such as

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those described above. Preferably it is derived from the same species as the cell which the nucleic acid is intended to transform i.e., if pig cells are to be transformed, preferably the localization signal is derived from pig.

More preferably the nucleic acid comprises a nucleic acid sequence encoding the catalytic domain of H transferase and a nucleic acid sequence encoding a localisation signal from Gal transferase. Still more preferably both nucleic acid sequences are derived from pigs. Even more preferably the nucleic acid encodes gthr described herein.

The term "nucleic acid" refers to any nucleic acid comprising natural or synthetic purines and pyrimidines. The nucleic acid may be DNA or RNA, single or double stranded or covalently closed circular.

The term "catalytic domain" of the chimeric enzyme refers to the amino acid sequences necessary for the enzyme to function catalytically. This comprises one or more contiguous or non-contiguous amino acid sequences. Other non-catalytically active portions also may be included in the chimeric enzyme.

The term "glycosyltransferase" refers to a polypeptide with an ability to move carbohydrates from one molecule to another.

The term "derived from" means that the catalytic domain is based on, or is similar, to that of a native enzyme. The nucleic acid sequence encoding the catalytic domain is not necessarily directly derived from the native gene. The nucleic acid sequence may be made by polymerase chain reaction (PCR), constructed de novo or cloned.

The term "localisation signal" refers to the amino acid sequence of a glycosyltransferase which is responsible for anchoring it in location within the cell. Generally localisation signals comprise amino terminal "tails" of the enzyme. The localisation signals are derived from a second glycosyltransferase, the activity of which it is desired to minimise. The localisation of a

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WO 98/05768

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PCT/AU97/00492

- 6 -

catalytic domain of a first enzyme in the same area as the second glycosyltransferase means that the substrate reaching that area is likely to be acted on by the catalytic domain of the first enzyme, enabling the amount of substrate catalysed by the second enzyme to be reduced.

The term "area of the cell" refers to a region, compartment or organelle of the cell. Preferably the area of the cell is a secretory organelle such as the Golgi apparatus.

In another aspect the invention provides an isolated nucleic acid molecule encoding a localisation signal of a glycosyltransferase. Preferably the signal encoded comprises an amino terminus of said molecule; more preferably it is the amino terminus of gal transferase. The gal transferase may be derived from or based on a gal transferase from any mammalian species, such as those described above. Particularly preferred sequences are those derived from pig, mouse or cattle.

In another aspect the invention relates to a method of producing a nucleic acid encoding a chimeric enzyme, said enzyme comprising a catalytic domain of a first glycosyltransferase and a localisation signal of a second glycosyltransferase whereby when said nucleic acid is expressed in a cell said chimeric enzyme is located in an area of the cell where it is able to compete for substrate with a second glycosyltransferase said method comprising operably linking a nucleic acid sequence encoding a catalytic domain from a first glycosyltransferase to a nucleic acid sequence encoding a localisation signal of a second glycosyltransferase.

The term "operably linking" means that the nucleic acid sequences are ligated such that a functional protein is able to be transcribed and translated.

Those skilled in the art will be aware of various techniques for producing the nucleic acid. Standard techniques such as those described in Sambrook et al may be employed.

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Preferably the nucleic acid sequences are the preferred sequences described above.

In another aspect the invention provides a method of reducing the level of a carbohydrate exhibited on the surface of a cell, said method comprising causing a nucleic acid to be expressed in said cell wherein said nucleic acid encodes a chimeric enzyme which comprises a catalytic domain of a first glycosyltransferase and a localisation signal of a second glycosyltransferase, whereby said chimeric enzyme is located in an area of the cell where it is able to compete for substrate with said second glycosyltransferase, and wherein said second glycosyltransferase is capable of producing said carbohydrate.

The term "reducing the level of a carbohydrate" refers to lowering, minimising, or in some cases, ablating the amount of carbohydrate displayed on the surface of the cell. Preferably said carbohydrate is capable of stimulating recognition of the cell as "non-self" by the immune system of an animal. The reduction of such a carbohydrate therefore renders the cell, or an organ composed of said cells, more acceptable to the immune system of a recipient animal in a transplant situation or gene therapy situation.

The term "causing a nucleic acid to be expressed" means that the nucleic acid is introduced into the cell (i.e. by transformation/transfection or other suitable means) and contains appropriate signals to allow expression in the cells.

The cell may be any suitable cell, preferably mammalian, such as that of a New World monkey, ungulate (pig, sheep, goat, cow, horse, deer, camel, etc.) or other species such as dogs.

In another aspect the invention provides a method of producing a cell from one species (the donor) which is immunologically acceptable to another species (the recipient) by reducing levels of carbohydrate on said cell

Which cause it to be recognised as non-self by the other species, said method comprising causing a nucleic acid to be expressed in said cell wherein said nucleic acid encodes a chimeric enzyme which comprises a catalytic domain of a first glycosyltransferase and a localisation signal of a second glycosyltransferase, whereby said chimeric enzyme is located in an area of the cell where it is able to compete for substrate with said second glycosyltransferase, and wherein said second glycosyltransferase is capable of producing said carbohydrate.

The term "immunologically acceptable" refers to producing a cell, or an organ made up of numbers of the cell, which does not cause the same degree of immunological reaction in the recipient species as a native cell from the donor species. Thus the cell may cause a lessened immunological reaction, only requiring low levels of immunosuppressive therapy to maintain such a transplanted organ or no immunosuppression therapy.

The cell may be from any of the species mentioned above. Preferably the cell is from a New World primate or a pig. More preferably the cell is from a pig.

The invention extends to cells produced by the above method and also to organs comprising the cells.

The invention further extends to non-human transgenic animals harbouring the nucleic acid of the invention. Preferably the species is a human, ape or Old World monkey.

The invention also extends to the proteins produced by the nucleic acid. Preferably the proteins are in an isolated form.

In another aspect the invention provides an expression unit which expresses the nucleic acid of the invention, resulting in a cell which is immunologically acceptable to an animal having reduced levels of a carbohydrate on its surface, which carbohydrate is recognised as non-self by said species. In a preferred embodiment, the expression unit is a retroviral packaging

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cell, cassette, a retroviral construct or retroviral producer cell.

Preferably the species is a human, ape or Old World monkey.

The retroviral packaging cells or retroviral producer cells may be cells of any animal origin where it is desired to reduce the level of carbohydrates on its surface to make it more immunologically acceptable to a host. Such cells may be derived from mammals such as canine, rodent or ruminant species and the like.

The retroviral packaging and/or producer cells may be used in applications such as gene therapy. General methods involving use of such cells are described in PCT/US95/07554 and the references discussed therein.

The invention also extends to a method of producing a retroviral packaging cell or a retroviral producer cell having reduced levels of a carbohydrate on its surface wherein the carbohydrate is recognised as non-self by a species, comprising transforming/transfecting a retroviral packaging cell or a retroviral producer cell with the nucleic acid of the invention under conditions such that the chimeric enzyme is produced.

### Brief Description of the Drawings

Figure 1 Schematic diagram of normal and chimeric glycosyltransferases

The diagram shows normal glycosyltransferases porcine  $\alpha(1,3)$  galactosyltransferase (GT) and human  $\alpha(1,2)$  fucosyltransferase (HT), and chimeric transferases ht-GT in which the cytoplasmic domain of GT has been completely replaced by the cytoplasmic domain of HT, and gt-HT in which the cytoplasmic domain of HT has been entirely replaced by the cytoplasmic domain of GT. The protein domains depicted are cytoplasmic domain CYTO, transmembrane domain TM, stem region STEM, catalytic domain CATALYTIC. The numbers refer to the amino acid sequence of

WO 98/05768

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the corresponding normal transferase.

# Figure 2 Cell surface staining of COS cells transfected with normal and chimeric transferases

Cells were transfected with normal GT or HT or with chimeric transferases gt-HT or ht-GT and 48h later were stained with FITC-labelled lectin IB4 or UEAI.

Positive-staining cells were visualised and counted by fluorescence microscopy. Results are from at least three replicates and values are +/- SEM.

## Figure 3. RNA analysis of transfected COS cells

Northern blots were performed on total RNA prepared from COS cells transfected: Mock, Mock-transfected; GT, transfected with wild-type GT; GT1-6/HT, transfected with chimeric transferase gt-HT; GT1-6/HT + HT1-8/GT, co-transfected with both chimeric transferases gt-HT and ht-GT; HT1-8/GT, transfected with chimeric transferase ht-GT; HT, transfected with normal HT; GT + HT, co-transfected with both normal transferases GT and HT. Blots were probed with a cDNA encoding GT (Top panel), HT (Middle panel) or g-actin (Bottom panel).

# Figure 4. Enzyme kinetics of normal and chimeric glycosyltransferases

Lineweaver-Burk plots for  $\alpha(1,3)$  galactosyltransferase (\*) and  $\alpha(1,2)$  fucosyltransferase (\*) to determine the apparent Km values for N-acetyl lactosamine. Experiments were performed in triplicate, plots shown are of mean values of enzyme activity of wild-type transferases, GT and HT, and chimeric proteins ht-GT and gt-HT in transfected COS cell extracts using phenyl-B-D Gal and N-acetyl lactosamine as acceptor substrates.

Figure 5. Staining of cells co-transfected with chimeric transferases

Cells were co-transfected with cMMAs encoding

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normal transferases GT + HT (panels A, B), with chimeric transferases gt-HT + ht-GT (panels C, D), with HT + ht-GT (panels E, F) or with GT + gt-HT (panels G, H) and 48h later were stained with FITC-labelled lectin IB4 (panels A, C, E, G) or UEAI (panels B, D, F, H).

Figure 6 is a representation of the nucleic acid sequence and corresponding amino acid sequence of pig secretor.

Figure 7 is a representation of the nucleic acid sequence and corresponding amino acid sequence of pig H.

Figure 8 Cell surface staining of pig endothelial cell line (PIEC) transfected with chimeric  $\alpha(1,2)$ -

fucosyltransferase. Cells were transfected and clones exhibiting stable integration were stained with UEAI lectin and visualised by fluorescence microscopy.

Figure 9 Screening of chimeric  $\alpha(1,2)$ -fucosyltransferase transferase in mice. Mice were injected with chimeric  $\alpha(1,2)$ -fucosyltransferase and the presence of the transferase was analysed by dot blots.

## Description of the Preferred Embodiment

The nucleic acid sequences encoding the catalytic domain of a glycosyltransferase may be any nucleic acid sequence such as those described in PCT/US95/07554, which is herein incorporated by reference, provided that it encodes a functional catalytic domain with the desired glycosyltransferase activity.

Preferred catalytic domains from glycosyltransferase include H transferase and secretor. Preferably these are based on human or porcine sequences.

The nucleic acid sequences encoding the

localisation signal of a second transglycosylase may be any
nucleic acid sequence encoding a signal sequence such as
signal sequences disclosed in P A Gleeson, R D Teasdale &

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Glycoconjugate J. (1994) 11: 381-394. Preferably the localisation signal is specific for the Golgi apparatus, more preferably for that of the trans Golgi. Still more preferably the localisation signal is based on that of Gal transferase. Even more preferably the localisation signal is based on porcine, murine or bovine sequences. Even more preferably the nucleic acid encodes a signal sequence with following amino acid sequence (in single letter code): MNVKGR (porcine), MNVKGK (mouse) or MVVKGK (bovine).

Vectors for expression of the chimeric enzyme may be any suitable vector, including those disclosed in PCT/US95/07554.

The nucleic acid of the invention can be used to produce cells and organs with the desired glycosylation pattern by standard techniques, such as those disclosed in PCT/US95/07554. For example, embryos may be transfected by standard techniques such as microinjection of the nucleic acid in a linear form into the embryo (22). The embryos are then used to produce live animals, the organs of which may be subsequently used as donor organs for implantation.

Cells, tissues and organs suitable for use in the invention will generally be mammalian cells. Examples of suitable cells and tissues such as endothelial cells, hepatic cells, pancreatic cells and the like are provided in PCT/US95/07554.

The invention will now be described with reference to the following non-limiting Examples.

#### ABBREVIATIONS

The abbreviations used are bp, base pair(s); FITC, fluorescein isothiocyanate; GT,

galactosyltransferase; H substance,  $\alpha(1,2)$  fucosyl lactosamine; HT,  $\alpha(1,2)$  fucosyltransferase; PCR, polymerase chain reaction;

Example 1 Cytoplasmic domains of glycosyltransferases play a central role in the temporal action of enzymes

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#### EXPERIMENTAL PROCEDURES

Plasmids - The plasmids used were prepared using standard techniques (7); pGT encodes the cDNA for the porcine  $\alpha(1,3)$  galactosyltransferase (23), pHT encodes the cDNA for the  $\alpha(1,2)$  fucosyltransferase (human) (25). Chimeric glycosyltransferase cDNAs were generated by polymerase chain reaction as follows: an 1105 bp product ht-GT was generated using primers corresponding to the 5' end of ht-GT (5'-GCGGATCCATGTGGCTCCGGAGCC

- ATCGTCAGGTGGTTCTGTCAATGC TGCTTG-3') coding for nucleotides 1-24 of HT (25) followed immediately by nucleotides 68-89 of GT (8) and containing a BamH1 site (underlined) and a primer corresponding to the 3' end of ht-GT (5'-GCTCTAGAGCGTCAGATGTTATT TCTAACCAAATTATAC-3') containing
- complementarity to nucleotides 1102-1127 of GT with an Xbal site downstream of the translational stop site (underlined); an 1110 bp product gt-HT was generated using primers corresponding to the 5' end of gt-HT (5'-GCGGATCCATGAATGTCAAAGGAAGACTCTGCCTGGCCT TCCTGC-3') coding
- for nucleotides 49-67 of GT followed immediately by nucleotides 25-43 of HT and containing a BamH1 site (underlined) and a primer corresponding to the 3' end of gt-HT (5'-GCTCTAGAGCCTCAAGGCTTAG CCAATGTCCAGAG-3') containing complementarity to nucleotides 1075-1099 of HT
- with a Xbal site downstream of the translational stop site (underlined). PCR products were restricted BamH1/Xbal, gel-purified and ligated into a BamH1/Xbal digested pcDNA1

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WO 98/05768 PCT/AU97/00492

**- 14** -

expression vector (Invitrogen) and resulted in two plasmids pht-GT (encoding the chimeric glycosyltransferase ht-GT) and pgt-HT (encoding the chimeric glycosyltransferase gt-HT) which were characterised by restriction mapping, Southern blotting and DNA sequencing.

Transfection and Serology - COS cells were maintained in Dulbecco's modified Eagles Medium (DMEM) (Trace Biosciences Pty. Ltd., Castle Hill, NSW, Australia) and were transfected (1-10 µg DNA/5 x 105 cells) using DEAE-Dextran (26); 48h later cells were examined for cell surface expression of H substance or  $Gal-\alpha(1,3)$ -Gal using FITC-conjugated lectins: IB4 lectin isolated from Griffonia simplicifolia (Sigma, St. Louis, MO) detects  $Gal-\alpha(1,3)-Gal$  (27); UEAI lectin isolated from Ulex europaeus (Sigma, St. Louis, MO) detects H substance (28). H substance was also detected by indirect immunofluorescence using a monoclonal antibody (mAb) specific for the H substance (ASH-1952) developed at the Austin Research Institute, using FITC-conjugated goat antimouse IgG (Zymed Laboratories, San Francisco, CA) to detect mab binding. Fluorescence was detected by microscopy.

RNA Analyses - Cytoplasmic RNA was prepared from transfected COS cells using RNAzol (Biotecz Laboratories, Houston, TX), and total RNA was electrophoresed in a 1% agarose gel containing formaldehyde, the gel blotted onto a nylon membrane and probed with random primed GT or HT cDNA.

Glycosyltransferase assays - Forty-eight hours after transfection, cells were washed twice with phosphate buffered saline and lysed in 1% Triton X-100/ 100 mM cacodylate pH 6. 5/ 25 mM MnCl2, at 4°C for 30 min; lysates were centrifuged and the supernatant collected and stored at -70°C. Protein concentration was determined by the Bradford method using bovine serum albumin as standard (29). Assays for HT activity (30) were performed in 25 µl containing 3mM [GDP-<sup>14</sup>C] fucose (specific activity 287 mCi/mmol, Amersham International), 5mM ATP, 50mM MOPS pH 6.

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(approximately 15-20μg of protein) and a range of concentrations (7. 5 -75 mM) of the acceptor phenyl-B-D-galactoside (Sigma). Samples were incubated for 2h at 37°C and reactions terminated by the addition of ethanol and water. The amount of <sup>14</sup>C-fucose incorporated was counted after separation from unincorporated label using Sep-Pak C18 cartridges (Waters-Millipore, Millford, MA). GT assays (31) were performed in a volume of 25 μl using 3mM UDP[<sup>3</sup>H]-Gal (specific activity 189mCi/mmol, Amersham

International), 5mM ATP, 100mM cacodylate pH 6. 5, 20mM MnCl<sub>2</sub> and various concentrations (1 -10 mM) of the acceptor N-acetyl lactosamine (Sigma). Samples were incubated for 2h at 37°C and the reactions terminated by the addition of ethanol and water. <sup>3</sup>H-Gal incorporation was counted after separation from non-incorporated UDP[<sup>3</sup>H]-Gal using Dowex I anion exchange columns (BDH Ltd., Poole, UK) or Sep-Pak Accell plus QMA anion exchange cartridges (Waters-Millipore, Millford, MA). All assays were performed in duplicate and additional reactions were performed in the absence of added acceptor molecules, to allow for the calculation of specific incorporation of radioactivity.

### RESULTS

Expression of chimeric  $\alpha(1,3)$  galactosylransferase and  $\alpha(1,2)$  fucosylransferase cDNAs

We had previously shown that when cDNAs encoding  $\alpha(1,3)$  galactosylransferase (GT) and  $\alpha(1,2)$  fucosyltransferase (HT) were transfected separately they could both function efficiently leading to expression of the appropriate carbohydrates:  $Gal-\alpha(1,3)-Gal$  for GT and H substance for HT (32). However when the cDNAs for GT and HT were transfected together, the HT appeared to "dominate" over the GT in that H substance expression was normal, but  $Gal-\alpha(1,3)-Gal$  was reduced. We excluded trivial reasons for this effect and considered that the localisation of the enzymes may be the reason. Thus, if the HT localisation signal placed the enzyme in an earlier temporal compartment

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WO 98/05768 PCT/AU97/00492

- 16 -

than GT, it would have "first use" of the N-acetyl lactosamine substrate. However, such a "first use" if it occurred, was not sufficient to adequately reduce GT. Two chimeric glycosyltransferases were constructed using pcr wherein the cytoplasmic tails of GT and HT were switched. The two chimeras constructed are shown in Fig.1: ht-GT which consisted of the NE2 terminal cytoplasmic tail of HT attached to the transmembrane, stem and catalytic domains of GT; and gt-HT which consisted of the NH2 terminal cytoplasmic tail of GT attached to the transmembrane, stem and catalytic domains of HT. The chimeric cDNAs were subcloned into the eukaryotic expression vector pcDNAI and used in transfection experiments.

The chimeric cDNAs encoding ht-GT and gt-HT were initially evaluated for their ability to induce glycosyltransferase expression in COS cells, as measured by the surface expression of the appropriate sugar using lectins. Forty-eight hours after transfection COS cells were tested by immunofluorescence for their expression of  $Gal-\alpha(1,3)-Gal$  or H substance (Table 1 & Fig. 2). The staining with IB4 (lectin specific for Gal-((1,3)-Gal) in cells expressing the chimera ht-GT (30% of cells stained positive) was indistinguishable from that of the normal GT staining (30%) (Table 1 & Fig. 2). Similarly the intense cell surface fluorescence seen with UEAI staining (the lectin specific for H substance) in cells expressing gt-HT (50%) was similar to that seen in cells expressing wildtype pHT (50%) (Table 1 & Pig. 2). Furthermore, similar levels of mRNA expression of the glycosyltransferases GT and HT and chimeric glycosyltransferases ht -GT and gt-HT were seen in Northern blots of total RNA isolated from transfected cells (Fig. 3). Thus both chimeric glycosyltransferases are efficiently expressed in COS cells and are functional indeed there was no detectable difference between the chimeric and normal glycosyltransferases.

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Glycosyltransferase activity in cells transfected with chimeric cDNAs encoding ht-GT and gt-HT

To determine whether switching the cytoplasmic tails of GT and HT altered the kinetics of enzyme function, we compared the enzymatic activity of the chimeric glycosyltransferases with those of the normal enzymes in COS cells after transfection of the relevant cDNAs. making extracts from transfected COS cells and performing GT or HT enzyme assays we found that N-acetyl lactosamine was galactosylated by both GT and the chimeric enzyme ht-GT (Fig 4. panel A) over a the 1-5mM range of substrate concentrations. Lineweaver-Burk plots showed that both GT and ht-GT have a similar apparent Michealis-Menten constant of Km 2. 6mM for N-acetyl lactosamine (Fig. 4. panel B). Further HT, and the chimeric enzyme gt-HT were both able to fucosylate phenyl-B-D-galactoside over a range of concentrations (7.5 - 25 mM) (Fig. 4 panel C) with a similar Km of 2. 3mM (Fig. 4 panel D), in agreement with the reported Km of 2. 4mM for HT (25). Therefore the chimeric glycosyltransferases ht-GT and gt-HT are able to utilise N-acetyl lactosamine (ht-GT) and phenyl-B-Dgalactoside (gt-HT) in the same way as the normal glycosyltransferases, thus switching the cytoplasmic domains of GT and HT does not alter the function of these glycosyltransferases and if indeed the cytoplasmic tail is the localisation signal then both enzymes function as well with the GT signal as with the HT signal.

# Switching cytoplasmic domains of GT and HT results in a reversal of the "dominance" of the glycosyltransferases

The cDNAs encoding the chimeric transferases or normal transferases were simultaneously co-transfected into COS cells and after 48h the cells were stained with either IB4 or UEA1 lectin to detect  $Gal-\alpha(1,3)-Gal$  and H substance respectively on the cell surface (Table 1 & Fig. 5). COS cells co-transfected with cDNAs for ht-GT + gt-HT (Fig 5 panel C) showed 30 % cells staining positive with IB4

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WO 98/05768 PCT/AU97/00492

- 18 -

(Table 1) but no staining on cells co-transfected with cDNAs for GT + HT (3%) (Fig. 5 panel A). Furthermore staining for H substance on the surface of ht-GT + gt-HT co-transfectants gave very few cells staining positive (5%) (Fig 5 panel D) compared to the staining seem in cells co-transfected with cDNAs for the normal transferases GT + HT (50%) (Fig. 5 panel B), ie. the expression of Gal-α(1,3)-Gal now dominates over that of H. Clearly, switching the cytoplasmic tails of GT and HT led to a complete reversal in the glycosylation pattern seem with the normal transferases i.e. the cytoplasmic tail sequences dictate the pattern of carbohydrate expression observed.

That exchanging the cytoplasmic tails of GT and HT reverses the dominance of the carbohydrate epitopes points to the glycosyltransferases being relocalized within the Golgi. To address this question, experiments were performed with cDNAs encoding glycosyltransferases with the same cytoplasmic tail: COS cells transfected with cDNAs encoding HT + ht-GT stained strongly with both UEAI (50%) and IB4 (30%) (Table 1 & Fig. 5 panels E, F), the difference in staining reflecting differences in transfection efficiency of the cDNAs. Similarly cells transfected with cDNAs encoding GT + gt-HT also stained positive with UEAI (50%) and IB4 (30%) (Table 1 & Fig. panel G, H). Thus, glycosyltransferases with the same cytoplasmic tail leads to equal cell surface expression of the carbohydrate epitopes, with no "dominance" of one glycosyltransferase over the other observed, and presumably the glycosyltransferases localised at the same site appear to compete equally for the substrate.

In COS cells the levels of transcription of the cDNAs of chimeric and normal glycosyltransferases were essentially the same (Fig.3) and the immunofiluorescence pattern of COS cells expressing the chimeric glycosyltransferases ht-GT and gt-HT showed the typical staining pattern of the cell surface  $Gal-\alpha(1,3)-Gal$  and H substance respectively (Table 1 & Fig. 2), the pattern

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being indistinguishable from that of COS cells expressing normal GT and HT. Our studies showed that the Km of ht-GT for N-acetyl lactosamine was identical to the Km of GT for this substrate, similarly the Km of gt-HT for phenylBDgalactoside was approximately the same as the Km of HT for phenylbDgalactoside (Fig. 3). These findings indicate that the chimeric enzymes are functioning in a cytoplasmic tail-independent manner, such that the catalytic domains are entirely functional, and are in agreement with those of Henion et al (23), who showed that an NH<sub>2</sub> terminal truncated marmoset GT (including truncation

of the cytoplasmic and transmembrane domains) maintained catalytic activity and confirmed that GT activity is indeed independent of the cytoplasmic domain sequence.

If the Golgi localisation signal for GT and HT is contained entirely within the cytoplasmic domains of the enzymes, then switching the cytoplasmic tails between the two transferases should allow a reversal of the order of glycosylation. Co-transfection of COS cells with cDNA encoding the chimeric glycosyltransferases ht-GT and gt-HT caused a reversal of staining observed with the wild type glycosyltransferases (Fig. 5), demonstrating that the order of glycosylation has been altered by exchanging the cytoplasmic tails. Furthermore, co-transfection with cDNA encoding glycosyltransferases with the same cytoplasmic tails (i. e. HT + ht-GT and GT + gt-HT) gave rise to equal expression of both  $Gal-\alpha(1,3)$ -Gal and H substance (Fig.5). The results imply that the cytoplasmic tails of GT and HT are sufficient for the localisation and retention of these two enzymes within the Golgi.

To date only twenty or so of at least one hundred predicted glycosyltransferases have been cloned and few of these have been studied with respect to their Golgi localisation and retention signals (34). Studies using the elongation transferase N-acetylglucosaminyltransferase I (33-37), the terminal transferases  $\alpha(2,6)$  sialyltransferase (24-26) and  $\beta(1,4)$  galactosyltransferase (38-40) point to

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WO 98/05768 PCT/AU97/00492

- 20 -

residues contained within the cytoplasmic tail, transmembrane and flanking stem regions as being critical for Golgi localisation and retention. There are several examples of localisation signals existing within cytoplasmic tail domains of proteins including the KDEL and KKXX motifs in proteins resident within the endoplasmic reticulum (41,42) the latter motif also having been identified in the cis Golgi resident protein ERGIC-53 (43) and a di-leucine containing peptide motif in the mannose-6= phosphate receptor which directs the receptor from the trans-Golgi network to endosomes (44). These motifs are not present within the cytoplasmic tail sequences of HT or GT or in any other reported glycosyltransferase. To date a localisation signal in Golgi resident glycosyltransferases has not been identified and while there is consensus that transmembrane domains are important in Golg: localisation, it is apparent that this domain is not essential for the localisation of all glycosyltransferases, as shown by the study of Munro (45) where replacement of the transmembrane domain of  $\alpha(2,6)$  sially transferase in a hybrid protein with a poly-leucine tract resulted in normal Golgi retention. Dahdal and Colley (46) also showed that sequences in the transmembrane domain were not essential to Golgi retention. This study is the first to identify sequence requirements for the localisation of  $\alpha(1,2)$  fucosyltransferase and  $\alpha(1,3)$  galactosyltransferase within the Golgi. It is anticipated that other glycosyltransferases will have similar localisation mechanisms.

## Example 2 Use of secretor in construction of a chimeric enzyme

A construct is made using PCR and subcloning as described in Example 1, such that amino acids #1 to #6 of the pig α(1,3)-galactosyltransferase (MNVKGR) replace amino acids #1 to 5 of the pig secretor (Fig 6). Constructs are tested as described in Example 1.

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## Example 3 Use of pig H transferase in construction of a chimeric enzyme

A construct is made using PCR and subcloning as described in Example 1, such that amino acids #1 to #6 of the pig  $\alpha(1,3)$ -galactosyltransferase (MNVKGR) replace amino acids #1 to 8 of the pig H transferase (Fig 7). Constructs are tested as described in Example 1.

## 10 Example 4. Generation of pig endothelial cells expressing chimeric $\alpha(1,2)$ fucosyltransferase

The pig endothelial cell line PIEC expressing the chimeric  $\alpha 1.2$  fucosyltransferase was produced by lipofectamine transfection of pgtHT plasmid DNA (20 µg) and pSV2NEO (2 µg) and selecting for stable integration by growing the transfected PIEC in media containing G418 (500 µg/ml; Gibco-BRL, Gaithersburg, MD). Fourteen independant clones were examined for cell surface expression of H substance by staining with UEA-1 lectin. >95% of cells of each of these clones were found to be positive. Fig. 8 shows a typical FACS profile obtained for these clones.

## Example 5 Production of transgenic mice expressing chimeric $\alpha(1,2)$ fucosyltransferase

- A NruI/NotI DNA fragment, encoding the full length chimeric α1,2fucosyltransferase, was generated utilising the Polymerase Chain Reaction and the phHT plasmid using the primers:
  - 5' primer homologous to the 5'UTR:
- 5'-TTCGCGAATGAATGTCAAAGGAAGACTCTG, in which the underlined sequence contains a unique Nrul site;
  - 3' primer homologous to the 3'UTR:
  - 5'-GGCGGCCGCTCAGATGTTATTTCTAACCAAAT

the underlined sequence contains a NotI site

The DNA was purified on gels, electroeluted and subcloned into a NruI/NotI cut genomic H-2Kb containing vector resulting in the plasmid clone (pH-2Kb-gtHT)

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WO 98/05768 PCT/AU97/00492

- 22 -

encoding the chimeric  $\alpha(1,2)$ -fucosyltransferase gene directionally cloned into exon 1 of the murine H-2Kb gene, resulting in a transcript that commences at the H-2Kb transcriptional start site, continuing through the gtHT cDNA insert. The construct was engineered such that translation would begin at the initiation codon (ATG) of the hHT cDNA and terminate at the in-phase stop codon (TGA).

DNA was prepared for microinjection by digesting pH-2Kb-hHT with XhoI and purification of the H-2Kb-hHT DNA from vector by electrophoretic separation in agarose gels, followed by extraction with chloroform, and precipitation in ethanol to decontaminate the DNA. Injections were performed into the pronuclear membrane of (C57BL/6xSJL)F1 zygotes at concentrations between 2-5ng/ml, and the zygotes transferred to pseudopregnant (C57BL/6xSJL)F1 females.

The presence of the transgene in the live offspring was detected by dot blotting. 5mg of genomic DNA was transferred to mylon filters and hybridized with the insert from gtHT, using a final wash at 68°C in 0.1xSSC/1% SDS. Fig. 9 shows the results of testing 12 live offspring, with two mice having the transgenic construct integrated into the genome. Expression of transgenic protein is examined by estimating the amount of UEAI lectin (specific for H substance) or anti-H mAb required to haemagglutinate red blood cells from transgenic mice. Hemagglutination in this assay demonstrates transgene expression.

It will be apparent to the person skilled in the art that while the invention has been described in some detail for the purposes of clarity and understanding, various modifications and alterations to the embodiments and methods described herein may be made without departing from the scope of the inventive concept disclosed in this specification.

References cited herein are listed on the following pages, and are incorporated herein by this

reference.

TABLE 1

WO 98/05768 PCT/AU97/00492

- 24 -

EXPRESSION OF GAL- $\alpha(1,3)$  GAL AND H SUBSTANCE BY COS CELLS TRANSFECTED WITH cDNAs ENCODING NORMAL AND CHIMERIC GLYCOSYLTRANSFERASES

| COS cells transfected | %IB4 positive | %DEAT positive |  |
|-----------------------|---------------|----------------|--|
| with cDNA encoding:   | cells         | cells          |  |
|                       |               |                |  |
| GT                    | 30            | 0              |  |
| HT                    | 0             | 5-)            |  |
| ht-GT                 | 30            | 0              |  |
| gt-HT                 | 3             | 5)             |  |
| GT+HT                 | 3             | 50             |  |
| ht-GT+gt-HT           | 33            | 5              |  |
| GT+gt-HT              | 30            | 3 0            |  |
| GT+ht-GT              | 30            | 0              |  |
| HT+ht-GT              | 30            | 30             |  |
| HT+gt-HT              | 0             | 50             |  |
| Mock                  | 0             | 0              |  |
|                       |               |                |  |

Transfected COS cells were stained with FITC-labelled IB4 (lectin specific for Gal-α(1,3)Gal or UEAI (lectin specific for H substance) and positive staining cells were visualized and counted by fluorescence microscopy. Results are from at least three replicates.

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WO 98/05768 PCT/AU97/00492

- 28 -

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#### CLAIMS

- 1. A nucleic acid encoding a chimeric enzyme, wherein said chimeric enzyme comprises a catalytic domain of a first glycosyltransferase and a localisation signal of a second glycosyltransferase, whereby when said nucleic acid is expressed in a cell said chimeric enzyme is located in an area of the cell where it is able to compete for substrate with a second glycosyltransferase, resulting in reduced levels of a product from said second glycosyltransferase.
  - 2. A nucleic acid according to claim 1, wherein said localisation signal localises said catalytic domain thereby to enable the catalytic domain to compete with said second glycosyltransferase for a substrate.
- 3. A nucleic acid according to claim 1 or claim 2, wherein the localisation signal is derived from a glycosyltransferase which produces glycosylation patterns which are recognised as foreign by a transplant recipient.
- 4. A nucleic acid according to any one of claims 1 to 3, wherein the localisation signal comprises the amino terminus of the second glycosyltransferase.
  - 5. A nucleic acid according to any one of claims 1 to 4, wherein the localisation signal is derived from  $\alpha(1,3)$ -galactosyltransferase.
  - A nucleic acid according to any one of claims 1 to 5, wherein the first glycosyltransferase is selected from the group consisting of H-transferase, secretor sialyltransferase, a galactosyl sulphating enzyme or a phosphorylating enzyme.
  - 7. A nucleic acid according to any one of claims 1 to 6, wherein the catalytic domain and the localisation signal each originates from a mammal selected from the group consisting of human, primates, ungulates, dogs, mice, rats and rabbits.
  - 8. A nucleic acid according to any one of claims 1 to 7, wherein the localisation signal is derived from the

WO 98/05768 PCT/AU97/00492

- 30 -

same species as the cell which the nucleic acid is intended to transform.

- 9. A mucleic acid according to any one of claims 1 to 8, comprising a sequence encoding the catalytic domain
- 5 of H transferase and a nucleic acid sequence encoding a localisation signal from Gal transferase.
  - 10. A nucleic acid according to claim 9, wherein the catalytic domain and the localisation signal are derived from pigs.
- 10 11. A nucleic acid according to any one of claims 1 to 10, which encodes gtHT as defined herein.
  - 12. A vehicle comprising a nucleic acid according to any one of claims 1 to 11.
- 13. vehicle according to claim 12, selected from the group consisting of an expression vector, plasmid and phage.
  - 14. A vehicle according to claim 12 or claim 13, which enables said nucleic acid to be expressed in prokaryotes or in eukaryotes.
- 20 15. An isolated nucleic acid molecule encoding a localisation signal of a glycosyltransferase.
  - 16. An isolated nucleic acid molecule according to claim 15, wherein the signal encoded comprises an amino terminus of gal-transferase.
- 25 17. A method of producing a nucleic acid according to any one of claims 1 to 11, comprising the step of operably linking a nucleic acid sequence encoding a catalytic domain from a first glycosyltransferase to a nucleic acid sequence encoding a localisation signal of a second
- 30 glycosyltransferase.
  - A method of reducing the level of a carbohydrate exhibited on the surface of a cell, said method comprising causing a nucleic acid to be expressed in said cell wherein said nucleic acid encodes a chimeric enzyme which comprises
- a catalytic domain of a first glycosyltrans: ferase and a localisation signal of a second glycosyltransferase, whereby said chimeric enzyme is located in an area of the

cell where it is able to compete for substrate with said second glycosyltransferase, and wherein said second glycosyltransferase is capable of producing said carbohydrate.

- A method of producing a cell from a donor species which is immunologically acceptable to a recipient species by reducing levels of carbohydrate on said cell which cause it to be recognised as non-self by the recipient, said method comprising causing a nucleic acid to be expressed in
- said cell wherein said nucleic acid encodes a chimeric enzyme which comprises a catalytic domain of a first glycosyltransferase and a localisation signal of a second glycosyltransferase, whereby said chimeric enzyme is located in an area of the cell where it is able to compete for substrate with said second glycosyltransferase, and
  - wherein said second glycosyltransferase, and producing said carbohydrate.
  - 20. A cell produced by a method according to claim 19.
- 20 21. An organ comprising a cell according to claim 20.
  - 22. A non-human transgenic animal, organ or cell comprising the nucleic acid according to any one of claims 1 to 11.
- 23. An expression unit which expresses a nucleic acid according to any one of claims 1 to 11, resulting in a cell which is immunologically acceptable to an animal having reduced levels of a carbohydrate on its surface, which carbohydrate is recognised as non-self by said species.

  24. An expression unit according to claim 23
- 24. An expression unit according to claim 23,
  30 selected from the group consisting of a retroviralpackaging cassette, retroviral construct or retroviral
  producer cell.
- 25. A method of producing an expression unit according to claim 23 or claim 24, said unit having reduced levels of a carbohydrate on its surface wherein the carbohydrate is recognised as non-self by a species, comprising transforming/transfecting a retroviral packaging

WO 98/05768

PCT/AU97/00492

- 32 -

cell or a retroviral producer cell with the nucleic acid of the invention under conditions such that the chimeric enzyme is produced.

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1/12

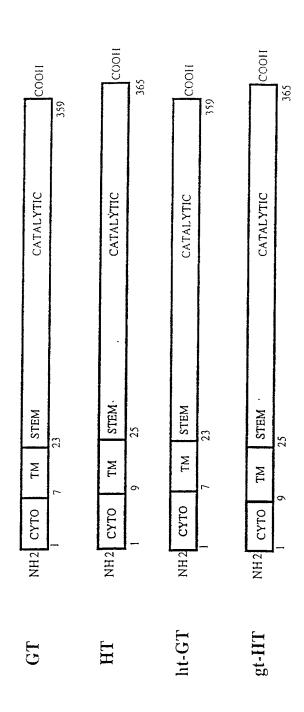


FIGURE 1
SUBSTITUTE SHEET (Rule 26)

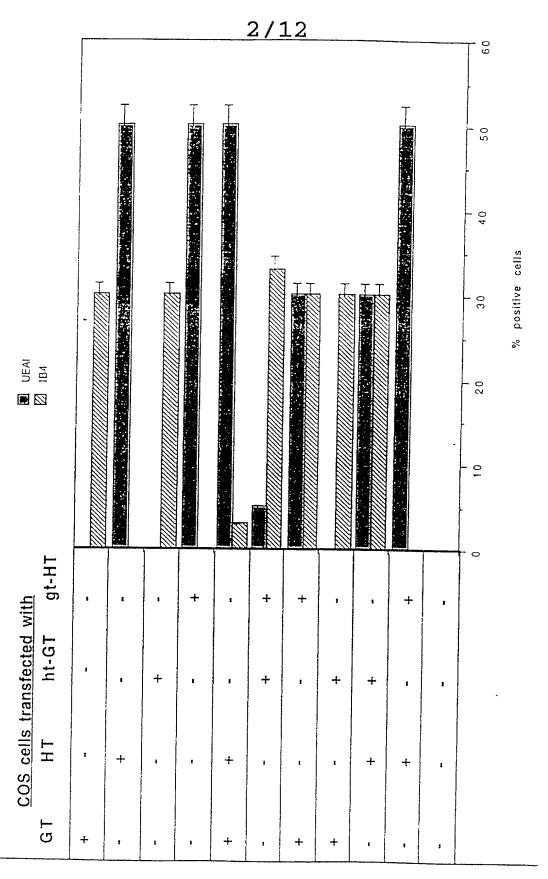


FIGURE 2

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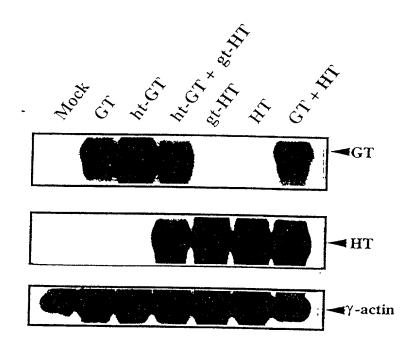


FIGURE 3

4/12

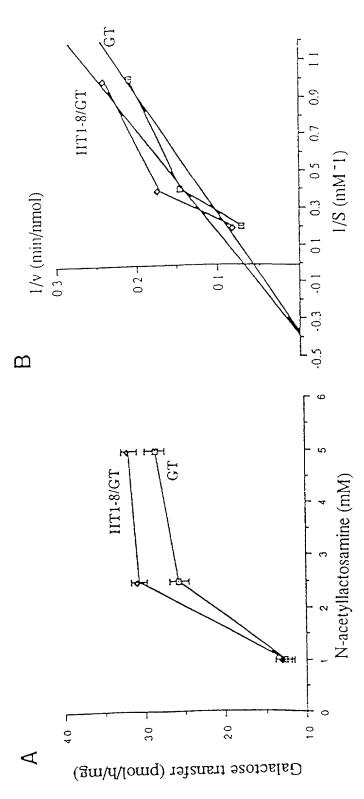


FIGURE 4

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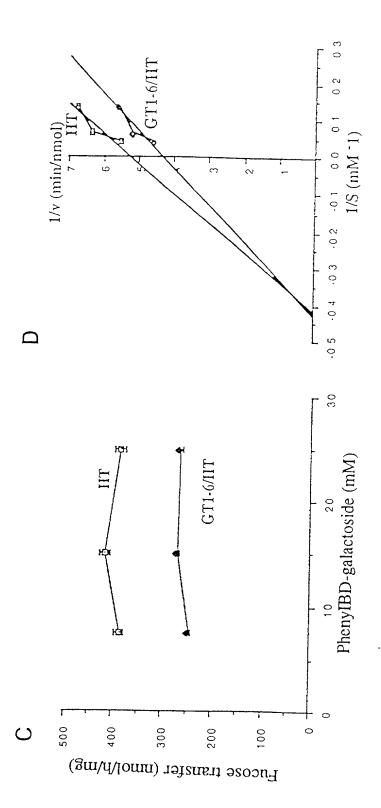


FIGURE 4 Continued SUBSTITUTE SHEET (RULE 26)

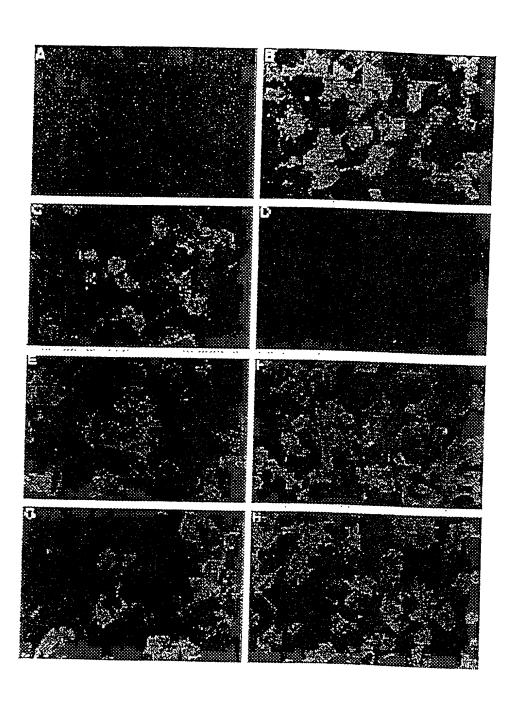


FIGURE 5

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PORCINE SECRETOR SEQUENCE

| 17  | 59              | 37        | 57          | 77                        | 97<br>299   | 359         | 137            |
|-----|-----------------|-----------|-------------|---------------------------|-------------|-------------|----------------|
| ᆸ   | CTC             | P         | L<br>CTG    | A<br>GCC                  | Н           | R<br>CGC    | I<br>ATC       |
| Н   | ATC             | Q<br>CAA  | Q<br>CAG    | Y<br>TAC                  | M<br>ATG    | A<br>GCC    | Н              |
| [14 | TTC             | I<br>ATT  | )<br>)<br>) | EGAG                      | E           | T<br>ACG    | R              |
| Ω,  |                 | K<br>AAG  | S           | 999<br>9                  | P           | S<br>AGC    | Y<br>T'AC      |
| O   | GGT             | V<br>GTG  | S           | M<br>ATG                  | P           | A.<br>GCC   | R              |
| Ţ   | ACG             | II<br>ATG | P           | Q<br>CAG                  | I<br>ATC    | H<br>CAC    | E<br>CAG       |
| Ω,  | $\mathcal{CCC}$ | R<br>AGG  | S<br>AGC    | N<br>AAC                  | F           | L<br>CTG    | E<br>GAG       |
| দৈ  | TTC             | Q<br>CAG  | E           | 999<br>9                  | A<br>GCC    | V<br>GTC    | M<br>ATG       |
| ĹΤΊ | TTC             | Q<br>CAG  | T<br>ACA    | L                         | P           | P           | W<br>TGG       |
| Ĺt. | TTC             | L<br>CTT  | T<br>ACC    | R<br>CGC                  | R<br>CGG    | L<br>CTC    | D              |
| S   | TCC             | H<br>CAC  | V<br>GTG    | 095<br>0                  | 999         | TACC        | N<br>AAC       |
| K   | GCA             | F         | Q<br>CAG    | I<br>ATC                  | N<br>AAC    | I<br>ATC    | $_{ m CTG}$    |
| Ø   | CAG             | I<br>ATA  | T.<br>A.C.G | A<br>GCC                  | N<br>ATG    | R<br>AGG    | H<br>CAC       |
| Σ   | ATG             | T<br>ACC  | V<br>GTG    | DE NATA                   | R<br>AGG    | F           | Y<br>TAC       |
| ഗ   | AGC             | S<br>TCC  | M<br>ATG    | DUENCE<br>I h<br>ATC A    | A<br>GCC    | I<br>ATC    | N<br>AAC       |
| ы   | CTC             | A<br>GCT  | Q<br>CAG    | R SEQU<br>T<br>ACG A      | L           | )<br>)<br>) | Q<br>CAG       |
| Σ   | ATG             | T<br>ACG  | L<br>TTA    | SECRETOR M W ATG TGG      | A<br>GCG    | A<br>GCC    | W.<br>TGG      |
|     | 225             | F<br>TTC  | E<br>GAG    |                           | Y           | $^{ m L}$   | PCCC           |
|     | CT ACA          | V<br>GTC  | W<br>TGG    | PORCINE<br>K G<br>AAG GGC | $_{ m CTG}$ | T<br>ACG    | R I<br>AGG ATC |
|     | CT              | F         | T<br>ACG    | POR(<br>K<br>AAG          | TACC        | S<br>AGC    | R<br>AGG       |

7/12

FIGURE 6
SUBSTITUTE SHEET (RULE 26)

8/12

479 177 197 629 237 257 779 277 839 29.7 959 CTG GAT CGG GTC Ω  $\alpha$ GGT Ø CAC CAG CAC GAC GAT Ø Ω  $\vdash$ Ø CAC CCC GTG CCC GTCØ AAA ≺ CTTコ TAC GAG GGG CGC ш TAC CTC> Д × ᆈ TTCGAG GTG TCC  $1^{\circ}$ CG ГLÌ > > S 1 ഗ ACC CCC TAC GGC CCC CCC $\alpha$  $\succ$ G ĸ Ø C. TGGACC AAG TAC AAT GAC TGG  $\succeq$  $\succ$ Z Д 3 TCCCAC AGT TGGCGC ATC AAA GAC  $\equiv$ ഗ 3 ĸ  $\succeq$ Ω  $_{\rm TGC}$ AAC CCGAAC GGG SSS Z > ď.  $\boldsymbol{z}$ Ø G D. 000CAT CGA AAC CGG GAA CCCTTC CTC  $\Xi$  $\simeq$  $\boldsymbol{z}$ œ Ш Д, ہنا 니 TAC CTG AGC CCCTTC CGG TCC П S Ŀ  $\alpha$ ഗ ⊱ = 299 GGGATG TGTGGC GGC TAC E ပ Σ  $\geq$  $\circ$ G G ACG GTGAAC GAC TGG GAG ATT AAT ſ. z > Ω 3 Ш <del>---</del> Z CTC GAG GTG CAC CTG CCC ATC ធា ACC > ı CCC ᆸ K ⊱ ⋖ CGC SSS 990 GTGATG GGC ATG CTG ĸ  $\alpha$ æ Σ G  $\mathbf{z}$ ļ  $\operatorname{GTG}$ CTC CTG TAC CAG GGC AAT GTC Ц TAC J  $\succ$ Ø  $\mathfrak{O}$ Z >  $\succ$ TAC GAC GAG AAC Н GGC ACT G Ω ш  $\boldsymbol{z}$ O  $\vdash$ н GAG GAG GGG CTG AGC 口 CAC  $\alpha$  $\circ$ 니 S K  $\equiv$ ⊱ GGGACC 990 TAC TCC  $\vdash$ П TTTAAC G7.G  $\alpha$ ഗ Z ш SSS CGC CGC CGG ĸ GTG  $\alpha$ TGT  $\alpha$ > > G

# FIGURE 6 (cont.) SUBSTITUTE SHEET (RULE 26)

| 337                                 | 1019                    |       |         |
|-------------------------------------|-------------------------|-------|---------|
| A F L P E W I G I E A D L S P L 337 | GCA BAC CTG TCC CCA CTC |       |         |
| Д                                   | CCA                     |       | 1043    |
| ഗ                                   | TCC                     |       | 10      |
| ,,                                  | CTG                     | 340   |         |
| Ω                                   | GAC                     |       |         |
| A,                                  | GCA                     |       |         |
| ជា                                  | GAG                     |       |         |
| Н                                   | ATC                     |       |         |
| U                                   | CGG                     |       |         |
| Н                                   | ٩T٢                     |       |         |
| 3                                   | TGG 1                   |       |         |
| ш                                   | GAG                     |       |         |
| Д                                   | $\mathcal{O}$           |       | TCC     |
| <b>⊢</b>                            | TTC CTG CCC             |       | CTG     |
| ĹŦŧ                                 | TTC                     |       | CGG     |
| Ø                                   | CCC                     |       | TGT CGG |
| æ                                   | GCA                     | *     | TGA     |
| ш                                   | GAG                     | H     | CAC     |
| K<br>P<br>E                         | AAG CCC GAG             | L K E | AAG CAC |
| <b>×</b>                            | A.A.G                   | ᆸ     | TT      |

FIGURE 6 (cont.)
SUBSTITUTE SHEET (RULE 26)

|                  |            |           |           |            |           |                 |           |            | 5         | IG           | H 7      | 'RAN      | ISFE       | ERAS       | ΞE         |           |            |           |                  |             |
|------------------|------------|-----------|-----------|------------|-----------|-----------------|-----------|------------|-----------|--------------|----------|-----------|------------|------------|------------|-----------|------------|-----------|------------------|-------------|
| M<br>ATC         | ฟ<br>TGG   | Y<br>GTC  | e<br>CCC  | S<br>AGC   | P<br>CGC  | R<br>CGC        | H<br>CAC  | L<br>CTC'  | · _       | Ĺ            | Ţ        | į.        | L ~        |            | ب          | TG        | <br>GTT    | :<br>TTA  | <u>à</u><br>,GCA | 20<br>60    |
| <u>a</u><br>GCA. | V chich    | <u> </u>  | E         | :<br>CTC   | 7 2.7     | <u>Cw'c</u>     | <u> </u>  | . Q<br>Caa | D<br>040  | L<br>CTC     | шфш<br>Э | Y<br>TAC  | S<br>AGT   | Q<br>Tagr  | r LL.<br>P | G<br>GAC  | .مشر.<br>7 | r<br>L    | 2<br>(3.3.3      | 40<br>120   |
|                  |            |           |           |            |           |                 |           |            |           |              |          |           |            |            |            |           |            |           |                  |             |
| L<br>CTG         | C<br>TGT   | P<br>CCA  | D<br>GAC  | H<br>CAT   | II<br>AAC | r,<br>GTG       | y<br>GTA  | S<br>TCA   | S<br>TCT  | <br>CCC      | ,<br>GTG | GCC       | I<br>'ATA  | TTC        | TGC        | L<br>CTC  | A<br>SGCC  | G<br>GGC  | ACC              | 60<br>180   |
| P<br>CCG         | V<br>GTA   | H<br>CAC  | CCC<br>P  | N<br>AAC   | ;<br>GCC  | S<br>TCC        | C<br>TAD  | S<br>TCC'  | rati      | P<br>CCC     | k<br>Aag | H<br>CAT  | P<br>CCT   | A<br>GCC   | S<br>TCC   | E<br>E    | S<br>TCC   | G<br>:GGG | T<br>ACC         | 80<br>240   |
| W<br>TGG.        | T<br>ACT   | I<br>TTA  | Y<br>TAC  | ?<br>CCG   | D<br>GAT  | ]<br>GGC        | e<br>CGG' | TTT:       | G<br>GGG. | 7.4<br>7.4 C | 2<br>CAG | M<br>ATG  | G<br>GGA   | ي<br>C.A.G | Y<br>TAT   | ,<br>GCC  | T<br>ACG   | L<br>KITG |                  | 100<br>300  |
| A<br>GCC         | L<br>CTG   | A<br>GCG  | Q<br>CAG  | L<br>CTC   | N<br>AAC  | G<br>GGC        | R<br>CGC: | Q<br>CAG   | À<br>GCC  | F<br>TTC     | I<br>ATC | Q<br>CAG  | P<br>CCT   | A<br>'GCC  | M<br>:ATG  | H<br>SCAC | A<br>GCC   | V<br>:GTC | L<br>CTG         | 120<br>360  |
| 300°             | р<br>ССС   | ./<br>GTG | F.<br>TTC | · p<br>CGC | aīc       | T<br>ACG        | j<br>CTG. | P<br>CCT   | .,<br>GTC | L<br>CTG     | i<br>GCC | F<br>CCC  | E<br>CAG   | .GT≥       | บ<br>.GAC  | F<br>ASG  | H<br>SCAC  | ı<br>GCT  | ecc.             | 140<br>420  |
| W<br>TGG         | R<br>CGG   | E<br>GAG  | L<br>CTG  | E<br>GAG   | CTT<br>_  | H<br>CAC        | D<br>GAC' | W<br>TGG.  | М<br>УСС, | S<br>TCC     | E<br>GAG | D<br>GAT  | Y<br>TAT   | A<br>GCC   | H<br>CAC   | L<br>TTA  | л<br>ДАД   | E<br>IGAG | P<br>CCJ         | 160<br>480  |
| W<br>TGG         | L<br>CTG   | K<br>AAG  | L<br>CTC  | T<br>ACC   | G<br>GGC  | TTC<br>E        | ECC.      | C<br>TGC'  | S<br>TCC' | W<br>TGG     | T<br>ACC | F<br>TTC  | F<br>TTC   | H<br>CAC   | H<br>CAC   | L<br>CTC  | R<br>CGG   | E<br>GAG  | Q<br>CAG         | 180<br>540  |
| I<br>ATC         | P.<br>CGC. | S<br>AGC  | E<br>GAG  | F<br>TTC   | T<br>ACC  | :<br>CTG        | H<br>CAC  | D<br>GAC   | H<br>CAC  | L<br>CTT     | R<br>CGG | Q<br>CAA  | E<br>GAG   | A<br>GCC   | Q<br>'CAG  | G<br>GGG  | V<br>GTA   | L<br>.CTG | S<br>AGT         | 200<br>600  |
| Q<br>CAG'        | F<br>TTC   | R<br>CGT  | L<br>CTA  | P<br>CCC   | R<br>CGC  | T<br>ACA        | G<br>GGG  | D<br>gAC   | R<br>CGC  | P<br>CCC     | S<br>AGC | T<br>ACC  | F<br>TTC   | V<br>GTG   | G<br>GGG   | V<br>GTC  | H<br>CAC   | V<br>GTG  | R<br>CGC         | 220<br>660  |
| R<br>CGC         | G<br>GGG   | D<br>GAC  | Y<br>TAT  | L<br>CTG   | R<br>CGT  | V<br>GTG.       | M<br>ATG  | P<br>CCC.  | K<br>NAG  | R<br>CGC     | W<br>TGG | K<br>AAG  | G<br>GGG   | V<br>GTG   | V<br>GTG   | G<br>GGT  | D<br>GAC   |           |                  | 240<br>720  |
| Y<br>TAC         | L<br>CTC   | Q<br>CAG  | Q<br>CAG  | A<br>GCT   | M<br>ATG  | D<br>GAC        | W<br>TGG' | F<br>TTC   | R<br>CGG  | À<br>GCC     | R<br>CGA | :<br>TAC  | E<br>GAA   | A<br>GCC   | .CCC       | V<br>GTC  | F<br>TTT   | y<br>GTG  | ·/<br>GTC        | 260<br>780  |
| T<br>ACC.        | S<br>AGC   | N<br>AAC  | G<br>GGC  | M<br>ATG   | E<br>GAG  | W<br>TGG'       | C<br>TGC  | R<br>CGG.  | K<br>AAG. | N<br>AAC     | I<br>ATC | D<br>GAC  | T<br>ACC   |            |            |           | D<br>GAC   | V<br>GTG  |                  | 280<br>840  |
| TTT              | A<br>GCT   | چ<br>GGC  | D<br>GAT  | G<br>GGG   | R<br>CGG  | E<br>GAG        | A<br>GCC  | A<br>GCG   | P<br>CCC  | A<br>GCC     | R<br>AGG | D<br>GAC  | F<br>TTT   | A<br>GCG   | L<br>CTG   | L<br>CTG  | V<br>GTG   | Q<br>CAG  | C<br>TGC         | 300<br>900  |
| N<br>AAC         | H<br>CAC   | T<br>ACC  | I<br>ATC  | M<br>ATG   | T<br>ACC  | تاسّت:<br>آ     | G<br>GGC. | T<br>ACC'  | F<br>TTC  | G<br>GGC     | F<br>TTC | ฟ<br>TGG  | ,A.<br>GCC | A<br>GCC   | Y<br>TAC   | L<br>CTG  | A<br>GCT   | G<br>GGT  | G.<br>GgA        | 320<br>960  |
| D<br>GAT.        | T<br>ACc   | I<br>ATC  | Y<br>TAC  | L<br>TTG   | A<br>GCT  | 7.4.C<br>13.4.4 | F<br>TTC. | YCC:       | L<br>CTG  | P<br>CCc     | ΑCT      | S<br>TCC  | S<br>AGC   | F<br>TTC   | L<br>CTG   | K<br>AAG  | I<br>ATC   | F<br>TTT  | K<br>AAA         | 340<br>1020 |
| P<br>CcC         | E<br>GAG   | ب<br>GCT  | ج<br>200  | F<br>TTC   | CT3       | 7<br>770        | E<br>GAG  | W<br>TGG   | <br>GTG   | G<br>GGC     | ATT<br>I | ::<br>AAT | A<br>GCA   | D<br>GAC   | L<br>TTG   | S<br>TCT  | P<br>CCA   | L<br>CTC  | Q<br>CAG         | 360<br>1080 |
| M<br>ATG         |            |           |           |            |           |                 |           |            |           |              |          |           |            |            |            |           |            |           | 365<br>1093      |             |

## FIGURE 7

11/12

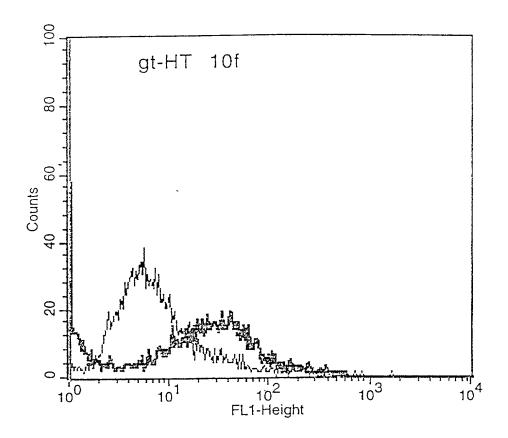
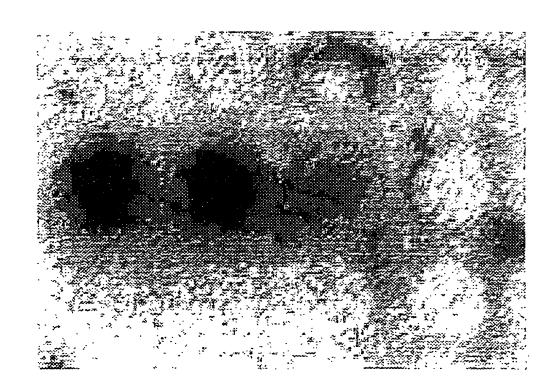


FIGURE 8
SUBSTITUTE SHEET (RULE 26)





For Continuation-in-Part (CIP) Applications, complete

| U.S. APPLICATION NUMBER | DATE OF FILING (day, month, year) | STATUS (patented, pending, abandoned) |
|-------------------------|-----------------------------------|---------------------------------------|
|                         |                                   |                                       |
|                         | i<br>I                            |                                       |

I hereby appoint the following attorney(s) and/or patent agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith:

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Reg No 17,426
Reg No 32,403
Reg No 32,403
Reg No 35,093
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Reg No 30,164
Reg No 32,122
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Reg No 25,968 Bogucki, Raymond A Bruess, Steven C Hamre, Curtis B Hassing, Thomas A Schumann, Michael D Sebala, Gregory A No 30139 No 31.838 No 38.491 No 37.160 No 31.535 No 36.848 No 34.205 Reg No 34,051 Reg No 35,684 Reg No 33,600 Reg No 38,323 Byrne, Linda M Hillson, Randali A Reg Snarp, Janice A Smith, Jerome R Carlson, Alan G Carter, Charles G Hollingsworth, Mark A Reg Kastelic, Joseph M Kowalchyk, Alan W Reg No Reg No Sorensen, Andrew D Caspers, Philip P Stinebruner, Scott A No 28.376 No 34.259 No 24.216 No 32.314 Clifford, John A Reg Reg Strawbridge Douglas . Strodthoft, Kristine M Reg Reg Kowaichyk, Katherine M Conrad, Timothy R Krull, Mark A Reg. No P-38,946 Reg No 29,555 Reg No 36,204 Lacy, Paul A Lasky, Michael B Lynch, David W Reg Reg Reg Reg Crawford, Robert Sumner, John P Daignault, Ronald A Reg No 25,968 Reg. No 34,994 Sumners, Jonn S Daley, Dennis R Tellekson, David K Reg No 36,204 Reg No 30,087 Reg No 32,044 Reg No 32,427 Reg No 30,300 Reg No 28,650 Daulton, Julie R Reg No No 36,414 34,321 Mau, Michael L Undernill, Albert Dempster, Shawn B McDonald, Daniel W Vandenburgh, J. Derek Reg Reg No Reg No 36.70 No 20.890 Reg Reg 28,707 20,187 DiPietro, Mark J No McDonald, Wendy M Vietzke, Lance L No Edell, Robert T Mueller, Douglas P Welter, Paul A. Reg Reg No 32,612 36,797 Reg Farber, Michael B Nelson, Albin J Williams, Dougtas J Reg Reg No 28,133 Fauver, Cole M No. Wood, Gregory B

I hereby authorize them to act and rely on instructions from and communicate directly with the person/assignee/attorney/firm/organization/who/which first sends/sent this case to them and by whom/which I hereby declare that I have consented after full disclosure to be represented unless/until I instruct Merchant & Gould to the contrary

Please direct all correspondence in this case to Merchant, Gould, Smith, Edell, Welter & Schmidt at the address indicated below (or if no address is specified, the first address):

1: 3100 Norwest Center, Minneapolis, MN 55402-4131 Telephone No. (612) 332-5300 1000 Norwest Center, St. Paul, MN 55101-2701
 Telephone No. (612) 298-1055

Suite 400, 11150 Santa Monica Boulevard, Los Angeles. CA 90025-3302
 Telephone No. (310) 445-1140

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Insert FULL name(s) AND address(es) of actua Liaventor(s)

Ō

FAMILY NAME FIRST GIVEN NAME SECOND GIVEN NAME FULL NAME OF Farquhar Campbell INVENTOR Ian MCKENZIE STATE OR FOREIGN COUNTRY RESIDENCE & CITIZENSHIP BRUNSWICK VICTORIA AUSTRALIA POST OFFICE ADDRESS STATE & ZIP CODE/COUNTRY POST OFFICE ADDRESS 3056 359 BRUNSWICK ROAD BRUNSWICK VICTORIA FULL NAME OF **FAMILY NAME** FIRST GIVEN NAME SECOND GIVEN NAME INVENTOR SANDRIN Sergio COUNTRY OF CITIZENSHIP Mauro TATE OR FORFICH COUNTRY CITY RESIDENCE & CITIZENSHIP BRUNSWICK VICTORIA AUSTRALIA STATE & ZIP CODE/COUNTRY POST OFFICE ADDRESS POST OFFICE ADDRESS BARKLEY STREET 211 VICTORIA 3056 BRUNSWICK FAMILY NAME FIRST GIVEN NAME SECOND GIVEN NAME FULL NAME OF INVENTOR STATE OR FOREIGN COUNTRY COUNTRY OF CITIZENSHIP CILLA RESIDENCE & CITIZENSHIP STATE & ZIP CODE/COUNTRY POST OFFICE ADDRESS CITY POST OFFICE ADDRESS SIGNATURE OF INVENTOR 203

DATE

Each inventor must

Note: No legalization or other witness required

For Additional Inventors:

.] Check box and attach sheet with same information, including date and signature.

Revised 12/09/94

#### § 1.56 Duty to disclose information material to patentability.

- (a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is cancelled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is cancelled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by § 1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine
  - (1) prior art cited in search reports of a foreign patent office in a counterpart application, and
- (2) the closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.
- (b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and
- (1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or
  - (2) It refutes, or is inconsistent with, a position the applicant takes in:
  - (1) Opposing an argument of unpatentability relied on by the Office, or
  - (ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

- (c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:
  - (1) Each inventor named in the application;
  - (2) Each attorney or agent who prepares or prosecutes the application; and
- (3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.
- (d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.

For Utility, ▶PCT, and Design Applications

### MERCHANT & GOULD

## **United States Patent Application**

#### ▼ INSTRUCTIONS

#### COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor I hereby declare that: my residence, post office address and citizenship are as stated below next to my name; that

I verily believe I am the original, first and sole inventor (if only one name is listed below) or a joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the

#### SE

| Insert TITLE of invention  | IMPROVED NUCLEIC ACIDS ENCODING A CHIMERIC GLYCOSYLTRANSFERA  |  |  |  |  |
|--|---|--|--|--|--|
| Check a er b   | The specification of which  |  |  |  |  |
|  | a.   is attached hereto   |  |  |  |  |
| •  | b.   was filed on   |  |  |  |  |
| If "b" checked, complete   | as application senal no.  |  |  |  |  |
|  | and was amended on(if applicable)   |  |  |  |  |
| If PCT Application   | (in the case of PCT-filed application)  |  |  |  |  |
| nsert Int. application   | described and claimed in international no. PCT/AU97/00492filed 1 August 1997  |  |  |  |  |
| number & filing date   | and as amended on (if any), which I have reviewed and for which I solicit a United States patent.   |  |  |  |  |
|  | I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.   |  |  |  |  |
| To the state of th | I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56(a). (Reprinted on back side)   |  |  |  |  |
|  | I hereby claim foreign priority benefits under Title 35, United States Code, § 119/365 of any foreign application(s) for patent of inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on the basis of which priority is claimed: |  |  |  |  |
| Prior applications<br>Check a or b   | a no such applications have been filed.   |  |  |  |  |
| E<br>Str<br>Str<br>Str<br>Str<br>Str<br>Str<br>Str<br>Str<br>Str<br>Str  | b X such applications have been filed as follows:   |  |  |  |  |
|  | EODEICN ADDITION(S) TE ANY CLAMING DESCRIPTIVING DESCRIPTION  |  |  |  |  |

If "b" checked, complete

| FOREIGN APPLICA COUNTRY | TION(S), IF ANY, CLAIMING APPLICATION NUMBER | DATE OF FILING                    | FILING DATE OF ISSUE             |  |  |
|-------------------------|--|-----------------------------------|----------------------------------|--|--|
| AUSTRALIA               | PO1402                                       | (day, month, year)<br>2/8/1996    | (day, month, year                |  |  |
| UNITED STATES           | 60/024,279                                   | 21/8/1996                         |                                  |  |  |
| ALL FOREIGN APPLICA     | ATIONS, IF ANY, FILED BEFO                   | <del>,</del>                      |                                  |  |  |
| COUNTRY                 | APPLICATION NUMBER                           | DATE OF FILING (day, month, year) | DATE OF ISSUE (day, month, year) |  |  |
|                         |  |                                   |                                  |  |  |
|                         |  | !                                 |                                  |  |  |
|                         |  | 1                                 | 1                                |  |  |

I hereby claim the benefit under Title 35, United States Code, § 120/365 of any United States and PCT international application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application

Revised 12/09/94

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| •       |        |           |
| A 1:    |        | Patentee: |
| Applica | int or | Patentee. |

THE AUSTIN RESEARCH INSTITUTE

Serial or Patent No:

Attorney's Docket No:

Filed or Issued:

For:

## VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) and 1.27(d)) - NONPROFIT ORGANIZATION

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF ORGANIZATION:

THE AUSTIN RESEARCH INSTITUTE

ADDRESS OF ORGANIZATION:

Kronheimer Building The Austin Hospital

Studley Road

HEIDELBERG VIC 3084

**AUSTRALIA** 

#### TYPE OF ORGANIZATION:

| [ ] | University or other institution of higher education  |
|-----|--|
| []  | Tax exempt under Internal Revenue Service Code (26 USC 501(a) and 501(c)(3))   |
| []  | Nonprofit scientific or educational under statute of state of The United States of America   |
|     | (Name of state )   |
|     | (Citation of statute )   |
| []  | Would qualify as tax exempt under Internal Revenue Service Code (26 USC 501(a) and 501(c)(3)) if located in The United States of America               |
| [x] | Would qualify as nonprofit scientific or educational under statute of state of The United States of America if located in The United States of America |
|     | (Name of state )   |
|     | (Citation of statute )   |

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code with regard to the invention entitled:

"IMPROVED NUCLEIC ACIDS ENCODING A CHIMERIC GLYCOSYLTRANSFERASE"

by inventor(s):

Ian Farquhar Campbell MCKENZIE

Mauro Sergio SANDRIN

described in:

| ]          | the specification filed herewith                           |
|------------|--|
| <b>x</b> ] | application serial no. PCT/AU97/00492, filed 1 August 1997 |
| 1          | patent no., issued   |

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the above identified invention.

If the rights held by the above identified nonprofit organization are not exclusive, each individual, concern or organization having rights to the invention is listed below \* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e). \* NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities (37 CFR 1.27).

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING:

Michael Vovos

TITLE IN ORGANIZATION:

**Business Manager** 

ADDRESS OF PERSON SIGNING:

Kronheimer Building, The Austin Hospital, Studley Road

HEIDELBERG VIC 3084, AUSTRALIA

**SIGNATURE** 

DATE: 20 March 1948